

# Construction waste management policies and their effectiveness in Hong Kong: A longitudinal review

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## ABSTRACT

Solid waste arisen from construction activities is grave concern in many economies. Given its negative impacts to the natural environment as a public good, construction waste is often heavily regulated by authorities. Hong Kong is no exception to this; over the past decades, a series of construction waste management (CWM) policies including regulations, codes, and initiatives have been introduced by the Government and her executive arms. It comes to an opportune time to retrospectively examine the effectiveness of these policies with a view to providing insights for further improvement. The aim of this paper is thus to examine CWM policies and their effectiveness in Hong Kong by conducting a longitudinal study. The evaluation of the policy effectiveness is derived by triangulating empirical data collected from various sources including Hong Kong Census and Statistics Department, Civil Engineering and Development Department, Environment Protection Department, and Hong Kong Construction Association with the qualitative data gleaned from interviews and case studies in construction sites, waste sorting facilities, and landfills. It is found that Hong Kong is actively trying new CWM policies based on latest waste management philosophies available (e.g. reduce, reuse, and recycle principle, and polluter pays principle). These policies have formed an interlocking, and relatively effective policy framework for CWM in Hong Kong. However, new initiatives are desired if aiming to change the gloomy situation since 2006 when the construction waste disposal charging scheme was effectively implemented. This research provides insightful understanding of CWM policies and their effectiveness, which is often concerned policies makers, researchers, and the like.

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## 1. Introduction

With the increasing embracement of sustainable development as a new value [1], the construction industry has started to realize its adverse impacts on the environment [2]. Construction by nature is not an environment-friendly activity. Researchers have provided comprehensive reviews of the negative impacts caused by construction activities, which mainly include land deterioration, resource depletion, waste generation, and various forms of pollution [3–8].

Amongst the many negative impacts, construction waste often constitutes a prodigious portion of the total municipal solid waste in contributing to the environment degradation [9–12]. Owing to its non-combustible nature, construction waste normally ends at landfills. In the United Kingdom, for example, more than 50% of waste deposited in a typical landfill come from construction [13]; while about 70 million tons of waste are arisen from construction and demolition activities [14]. In Australia, about 14 million tons of waste have been put into landfill each year, and about 44% of waste are attributed to the construction industry [15,16]. In the United States of America, around 29% of solid-waste are from construction [17]. Waste in landfill leads to extensive amounts of air, water and soil pollution due to the production of CO<sub>2</sub> and methane from anaerobic degradation of the waste.

There are two generic approaches for dealing with construction waste. From a technical point of view, environmental engineers investigate how “hard” technologies can help reduce, reuse, or recycle construction waste, i.e. through introduction of pre-fabrication, using metal formwork, and using recycled aggregate for different concrete applications. By appreciating that construction waste is also a social issue, “soft” economical or managerial measures have gained momentum. Particularly, governments around the world have endeavoured to enact public policies for regulating construction activities with a view to reducing its negative impacts to the natural environment as a typical public good. Here, public policy is an inclusive term which may be comprised of ordinances, regulations, codes of practice, and initiatives introduced by government or its executive arms. This echoes with Kilpatrick who defines public policy as “a system of laws, regulatory measures, courses of action, and funding priorities concerning a given topic promulgated by a governmental entity or its representatives” [18].

Hong Kong is no difference. In Hong Kong, the latest figures on municipal solid waste ending up at landfills reached 13,458 t per day (tpd) in 2011, of which about 25% is construction waste [19]. In addition to the environmental impacts, construction waste also brings tremendous pressure to the valuable landfill space in this compact city. Statistics showed that construction waste cost the Government more than HK\$200 million annually for landfill disposal and took up landfill space at a rate of about 3500 m<sup>3</sup> per day [20,21]. The Hong Kong Environment Protection Department (EPD) predicted that with an estimated 24% annual increase in construction waste to be disposed of, the landfill facilities will be full in the next 10 years [22]. There is an acute need to manage the waste in Hong Kong, and consequently, to reduce its negative impacts on the environment and alleviate the pressure on valuable landfills. A series of CWM policies including regulations, codes, and initiatives have been introduced by the Government and her executive arms. Nevertheless, whether the policies are effective is an answered question concerned by policy makers, practitioners and scholars.

The aim of this study is to examine the effectiveness of CWM policies enacted in Hong Kong over the past decades. The rest of the paper is organized as follows. Methodology is introduced in Section 2. A policy framework for and a “roadmap” of CWM in Hong Kong are introduced in Sections 3 and 4 respectively.

In-depth interpretations of the policies are analyzed and discussed in Section 5 by triangulating the empirical data with the qualitative data collected from interviews and case studies. Conclusion follows in Section 6. This research not only illustrates how the CWM policies evolve into a policy system in Hong Kong, but also sheds light on their effectiveness. Although the study is conducted in Hong Kong, it is expected that the findings could also provide insights to other jurisdictions, which aspire to appreciate the importance of construction while reducing its negative impacts on the natural environment.

## 2. Methods

In view of the designated research aim, this research adopts a longitudinal study as the core of the methodology. A longitudinal study is a correlational research study that involves repeated observations of the same variables over long periods of time [23]. It has several advantages, e.g. the changes in variables can be captured and the relationships among these changes can be better analyzed, while the disadvantage is that it takes a lot of time and is very expensive. In this study, EPD has a themed website to introduce CWM in Hong Kong. It also periodically releases construction waste statistics of the past few years through an annual report entitled “Monitoring of Solid Waste in Hong Kong” [24]. The availability of construction waste data is amenable for this longitudinal review.

As the first step, desktop studies have been conducted to outline the policies in relation to waste management in general and CWM specifically. The policies are then organized in a graphic presentation as a policy framework (Fig. 1) to give readers, who might not be acquainted with Hong Kong, a full picture of how CWM is regulated in this economy. This framework will also serve as a guideline, alongside which the effectiveness of the policies can be better examined. However, it is open to lengthy theoretical debates as to what is meant by policy effectiveness. Apart from direct impacts, a CWM policy may have spillover effects [25]. In addition, “effectiveness” means different things to different stakeholders in CWM. Bearing these debates in mind, this study uses the volumes of waste generated and landfilled as the criteria to measure policy effectiveness, given that they are promulgated to minimize waste, and in turn, to slow down the depletion of the limited landfills in Hong Kong. Construction waste is easy to see, as well as relatively easy to measure [2,26]. Empirical data relating to the volumes of waste generated and landfilled was collected from various sources including Monitoring of Solid Waste in Hong Kong, the Census and Statistics Department (CSD), and Civil Engineering and Development Department (CEDD).

The above empirical data was then preliminary processed by linking it to the policy framework as shown in Fig. 1. Some interesting correlations have been observed and analyzed against current literature. Other in-depth interpretations of the policies including their rationales, theoretical grounds, interconnections, and effectiveness were obtained through conducting interviews and case studies in governmental departments, off-site construction waste sorting facilities, landfills, and construction sites. For example, an interview with the former Secretary for the Environment, Transport and Works of the Hong Kong SAR was conducted, which helps understand the background of the policies. From January to December 2012, members in the research team have been intensively involved in case studies in an off-site construction waste sorting facilities located at the Tuen Mun Area 38 in Hong Kong, a strategic landfill located in Tseung Kwan O, and 12 construction sites in the territory. The qualitative data collected was then triangulated with the empirical data to gain insights into the effectiveness of the CWM policies in Hong Kong.

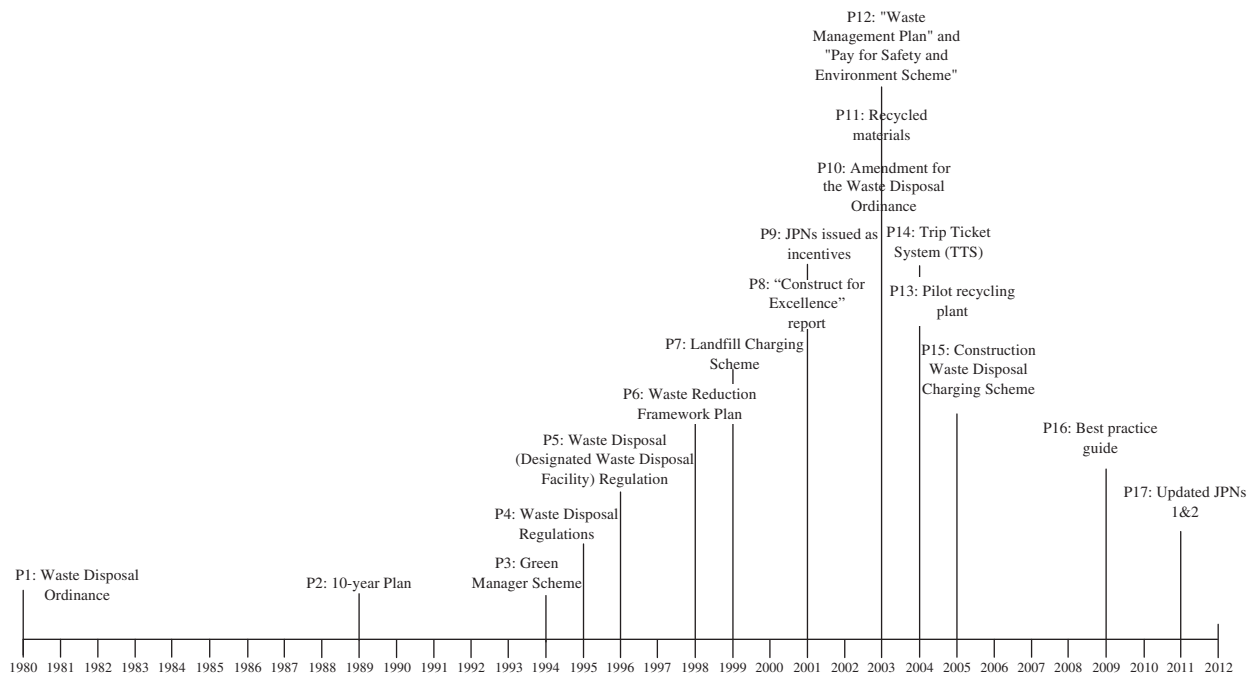


Fig. 1. Policy framework of CWM in Hong Kong.

### A policy framework for construction waste management in Hong Kong

Over the past decades, a series of policies have been enacted by the Government. It has formed a CWM policy framework (see Fig. 1).

- **P1: Waste Disposal Ordinance**

In 1980, the Waste Disposal Ordinance was enacted to provide a comprehensive framework for managing waste from the point of arising to the point of final disposal. The intention was that waste should be disposed of in an environmentally acceptable manner.

- **P2: 10-year Plan**

In 1989, a comprehensive 10-year plan was launched to reduce construction waste and other pollution problems.

- **P3: Green Manager Scheme**

In 1994, a Green Manager Scheme was set up by the Government to appoint respective Green Managers in all government branches and departments to oversee green house-keeping matters in their offices, for example, measures to minimize water use and save energy.

- **P4: Waste Disposal Regulations**

In 1995, the Waste Disposal (Charges for Disposal of Chemical Waste) Regulation and the Waste Disposal (Charges for Disposal of Waste) Regulation were introduced to require payment of charges for disposal of chemical waste and solid waste at landfills.

- **P5: Waste Disposal (Designated Waste Disposal Facility) Regulation**

In 1996, the Waste Disposal (Designated Waste Disposal Facility) Regulation was introduced to provide for the maintenance of orderly conduct within sites used for waste disposal activities, measures to counteract the evasion of charges payable in connection with the provision of waste disposal services at such sites, and proof of matters in proceedings before a court in relation to the provision of waste disposal activities at such sites.

- **P6: Waste Reduction Framework Plan**

In 1998, a Waste Reduction Framework Plan was initiated to target municipal solid waste reduction and construction

material management. Its objectives include extending the useful life of landfills, minimizing the amount of waste, conserving non-renewable resources, increasing the recycling rate, showing the public the true cost of waste management, and encouraging the maximum efficient in waste management operations and minimization of related cost.

- **P7: Landfill Charging Scheme**

In 1999, a Landfill Charging Scheme was proposed to be adopted based on two principles, namely Polluter Pays Principle and User Pays Principle.

- **P8: "Construct for Excellence" report**

In 2001, Construction Industry Review Committee jointed with Buildings Department, Lands Department and Planning Department published a report Construct for Excellence to encourage design and construction of building which maximize the use of recycled/green building materials and reduce construction waste. There was no incentive provided to foster waste reduction by then.

- **P9: JPNs issued as incentives**

Joint Practice Note No.1 (JPN1) published in 2001 serves as a prelude to Joint Practice Note no. 2 (JPN2) published in 2002 which provides considerable benefit to reduce construction waste. In JPN2, exemption of site coverage and/or gross floor area (GFA) calculation, subjected to certain criteria, was provided to builders using non-structural prefabricated external walls. The exemption to area occupied by projected windows and slab thickness in non-structural prefabricated external walls is in essence providing extra saleable floor area to developers to hence boost the use of prefabricated external walls.

- **P10: Amendment for the waste disposal ordinance**

In 2003, Bills Committee was set up under Legislative Council to propose amendment for the Waste Disposal Ordinance in order to provide a statutory basis for the implementation of the construction waste disposal charging scheme, and to strengthen control against illegal waste disposal.

- **P11: Recycled materials**

In 2003, the Buildings Department issued a practice note for structural engineers entitled "Use of Recycled Aggregates in

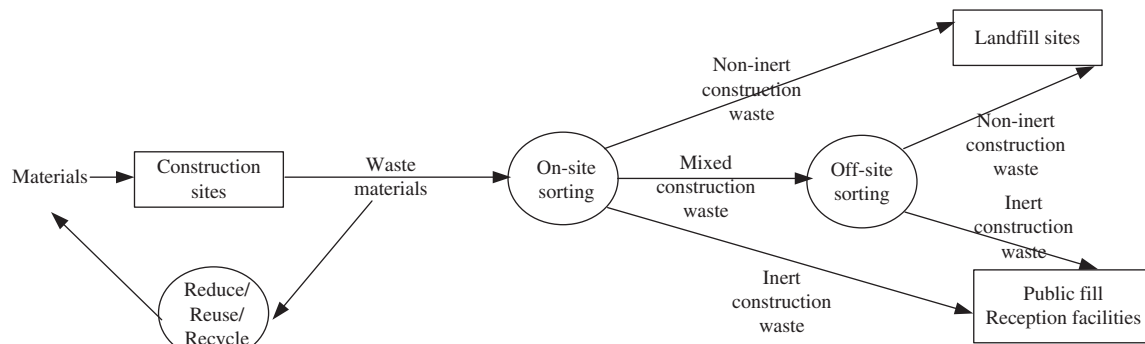


Fig. 2. Construction waste generation and management in Hong Kong.

Concrete.” This technical guideline can be applied to prescribed mix concrete (20 P) and designed mix concrete (25D to 35D) to adopt 100% and 20% recycled aggregate respectively.

• **P12: “Waste Management Plan” and “Pay for Safety and Environment Scheme”**

In 2003, Environment Transport and Works Bureau produced a circular (Ref: 15/2003) on “Waste Management on Construction Sites” that explained the implementation of the government’s “Waste Management Plan” and “Pay for Safety and Environment Scheme” for public construction projects.

• **P13: Pilot recycling plant**

In 2004, a pilot recycling plant for inert construction waste was set up in Tuen Mun to convert inert wastes into useful materials such as recycled aggregate for road sub-base and drainage bedding layers, as well as for producing asphalt and minor concrete structures. Recycled aggregate is used in public projects commissioned from 2004–2006.

• **P14: Trip Ticket System (TTS)**

The system was implemented by the Hong Kong government in 1999 and enhanced subsequently in 2004 [27]. It has been anticipated that the number of cases of construction waste being illegally dumped will increase when CWM policies are strengthened. The TTS is introduced to prevent illegal dumping. With the enactment of the TTS, the destinations as well as the transportation route of construction waste generated by a particular construction project can be easily tracked and monitored so that it is difficult for the transporter to dump the waste in an unauthorized area.

• **P15: Construction Waste Disposal Charging Scheme**

In 2005, a Construction Waste Disposal Charging Scheme launched to encourage reduction, sorting and recycling construction waste by waste producers. Two off-site sorting facilities namely, Tuen Mun construction waste sorting facility and Tseung Kwan O construction waste sorting facility, were also implemented with the waste charging scheme.

• **P16: Best practice guide**

In 2009, Hong Kong Construction Association issued the Best Practice Guide for Environmental Protection on Construction Sites to serve as a handy reference to frontline management teams in managing certain critical and environment-prone site issues including construction waste management.

• **P17: Updated JPNs 1&2**

Buildings Department, Lands Department, and Planning Department updated the JPNs 1&2 in 2011. At the core of the updates is that an overall cap of 10% GFA exemptions for a number of features which still qualify for concession is imposed.

Based on the “reduce, reuse, and recycle (3R)” principle, the options for waste management listed in preferred order of avoidance, minimization, recycling, treatment, and disposal have been devised and assimilated into the construction processes in Hong Kong. Specifically, in order to manage construction waste, before site operations commence, contractors have to prepare a waste management plan as part of the overall environmental management plan, and set out waste reduction targets and programmes. It is also advised that contractors need to set up a good house-keeping practice and a waste management monitoring and audit programme, throughout the whole construction processes.

If the waste is unavoidably generated on construction sites, arranging on-site sorting and proper waste disposal are advisable to contractors. In Hong Kong, construction waste is often categorized into an inert and non-inert dichotomy, whereby the inert materials, comprising mainly sand, bricks and concrete, are deposited at public filling areas for land reclamation, while the non-inert portion, consisting of materials such as bamboo, plastics, glass, wood, paper, vegetation and other organic materials, is disposed of at landfills as solid waste. The construction waste arisen is usually in the form of a mixture of both inert and non-inert materials. A segregation of the two parts is of paramount importance [28]. Poon et al. found out that contractors were reluctant to carry out on-site waste sorting owing to various difficulties in spite of the perceived advantages to do so [21]. A recent study by Yuan et al. revealed that CWM regulations have significantly enhanced on-site construction waste sorting in Hong Kong [11]. Site space and project stakeholders’ attitudes are still regarded as the most critical factors affecting on-site CWM but labor and cost are no longer of major concerns [11]. As a consequence, the waste materials can be reduced, reused, or recycled to a certain degree.

Contractors must send the residual construction waste to different facilities including landfill sites, construction waste off-site sorting facilities, or public fill reception facilities. Based on the “polluter pays principle”, the Hong Kong government implemented a Construction Waste Disposal Charging Scheme (CWDCS) in 2006. In line with the Scheme, a construction contractor will be imposed a levy of HK\$125 for every ton of construction waste it disposes of at *landfills*; it will be levied HK\$100 per ton if the construction waste was accepted by *off-site sorting facilities* while it will be charged only HK\$27 per ton if the waste consists entirely of inert materials accepted by *public fill reception facilities*. The EPD has set up rigid criteria to accept different mixture of construction waste. For example, the off-site sorting facilities only accept construction waste containing more than 50% by weight of inert materials in order to maximize its service efficiency [29].

The price discriminations reflect different environmental impacts caused by different forms of construction waste. For the inert construction waste, it can be sent to public fill reception facilities. The facilities includes: (a) public filling areas, which is a

## 2.1. A “roadmap” of construction waste management in Hong Kong

As an overall effect of these policies, a “roadmap” of CWM in Hong Kong can be illustrated in Fig. 2.



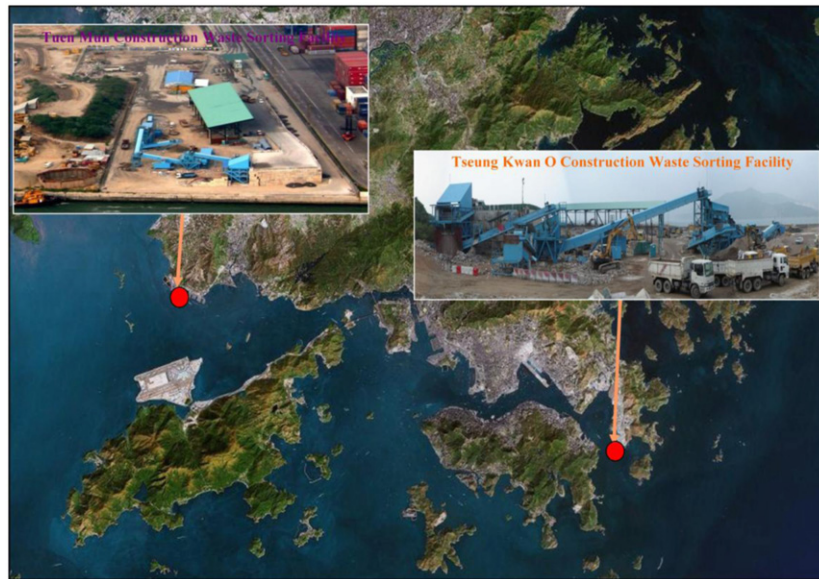


Fig. 3. Two construction waste sorting facilities in Hong Kong [34].

designated part of a development project accepting public fill for reclamation; (b) public filling barging points, which is a strategically located public fill reception facility utilizing barge transportation to transfer public fill from road vehicles to marine based public filling areas; (c) public fill stockpiling areas, a newly reclaimed land where public fill is stockpile as surcharging material to accelerate settlement; (d) fill banks, an area allocated for temporary stockpile of public fill for later use; and (e) construction and demolition material recycling facility, which processes hard inert materials into recycled aggregate and granular materials for use in construction activities. The public fill reception facilities are managed by the CEDD. For the mixed construction waste meeting the criteria, contractors can send it to construction waste sorting facilities as this will be charged less than that disposal of at landfill. There are two operating sorting facilities: (1) Tseung Kwan O Area 137, and (2) Tuen Mun Area 38, for sorting the inert part of construction material from mixed waste (see Fig. 3). The inert part will be sent to public fill reception facilities by the CEDD or its off-site waste sorting contractors while the non-inert part will be dumped at landfills. At present, there are three strategic landfills, namely, West New Territories (WENT) Landfill, South East New Territories (SENT) Landfill, and North East New Territories (NENT) Landfill, are in operation (see Fig. 4). Yuan et al. noticed that the two off-site construction waste sorting facilities are cleverly sited next to the landfills so that the non-inert waste sorted can be conveniently disposed [11]. Landfill is the least preferred and the most expensive option for waste disposal; it causes environmental problems as well as bringing tremendous pressure to the valuable landfill space.

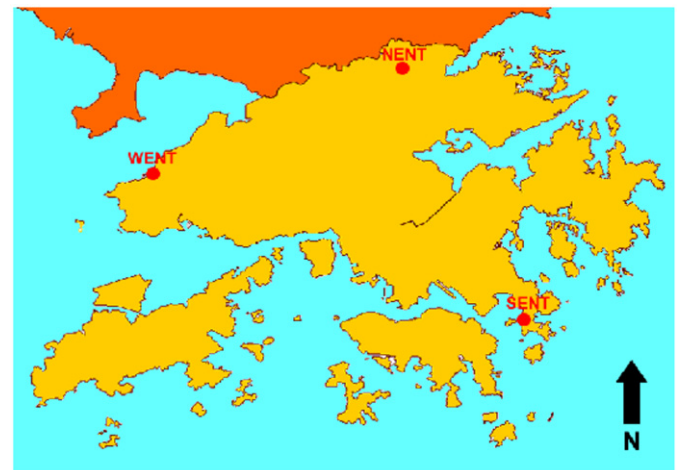


Fig. 4. Location of existing strategic landfills in Hong Kong [19].

waste management focus to combating the domestic waste, e.g. implementing a plastic bag levy—the 50 cent (US\$0.06) charge for every plastic bag used at grocery counters—since July 2009, and promoting reducing food waste recently. Notably, both the absolute volume and the ratio of construction waste in the overall municipal solid waste have been readily reduced from the highest point (68% in 1991) to around 25% over the past years (see years of 2007–2010).

### 3.2. The influence of a declining construction sector

Is the reduction of construction waste because of the decreasing construction volume? It is understandable that the more construction projects, the more construction waste may be generated in general. We thus proportioned the construction waste to Gross Domestic Product (GDP). As shown in Table 1, Owing to economic restructuring, the contribution of the construction industry to Hong Kong's GDP dropped from 11% at its peak in the 1980s but is still consistently contributing around 3% to the GDP, which was approximate HK\$ 1748 billion. Fig. 6 shows that construction generated around 40–70 t of waste in producing every million dollars' work during the first half of the last decade

## 3. Results and discussions

### 3.1. Municipal solid waste and construction waste

Fig. 5 illustrates various solid wastes disposed of at landfills in 1991–2010. It is clear that domestic waste and construction waste are the two largest members in forming the overall municipal solid waste in Hong Kong. It can be seen from Fig. 5 that the portion of domestic waste remains largely unchanged over the past three decades regardless of the fluctuation in Hong Kong economy. Probably for this reason, the Hong Kong EPD is now shifting its

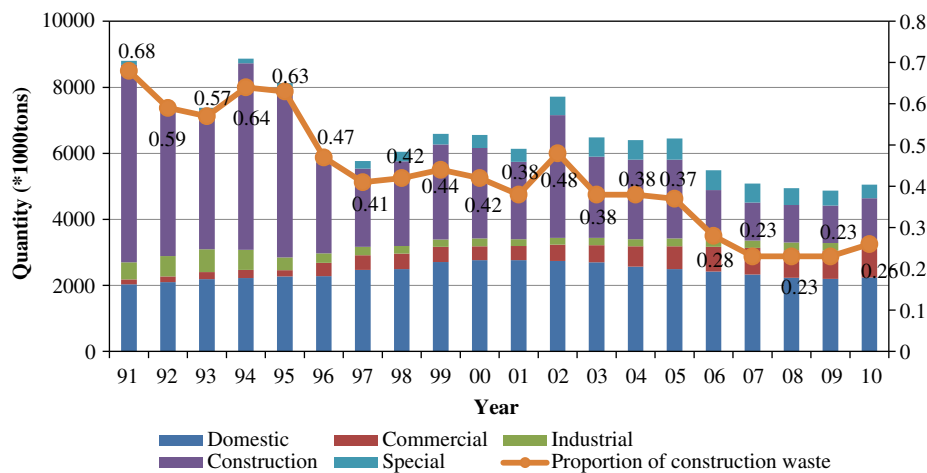


Fig. 5. Solid waste disposed of at landfills from 1991 to 2010 [19].

**Table 1**  
GDP and waste contributed by construction (year 2000–2011).

Year	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011
Construction (M HKD)	62,532	57,590	51,850	45,237	40,797	39,010	39,227	40,643	48,403	50,264	56,531	64,527
Contribution to GDP (%)	4.9	4.5	4.1	3.7	3.2	2.8	2.7	2.5	3	3.2	3.3	3.4
Waste (Tonne)	2,728,375	2,338,920	3,723,730	2,455,720	2,407,175	2,393,305	1,505,737	1,152,732	1,131,527	1,139,014	1,308,159	12,15,940
Waste/GDP (Tonne/M HK\$)	43.63	40.61	71.82	54.29	59.00	61.35	38.39	28.36	23.38	22.66	23.14	18.84

Note: GDP related data were at basic prices.

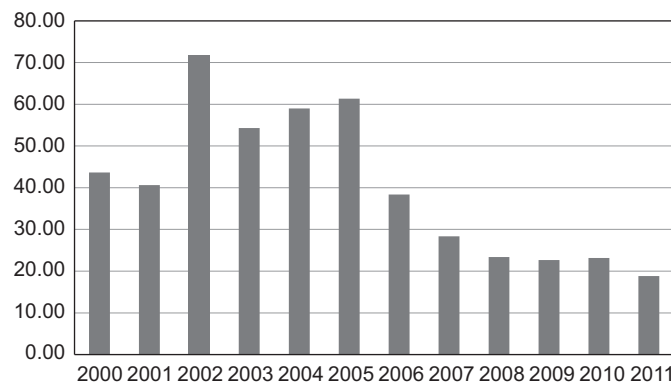


Fig. 6. Waste generation per construction GDP in Hong Kong (Unit: ton/M HK\$).

while this figure was reduced to around 20 t in the recent years ranging from 2008–2011. After eliminating the effects of construction volume variations, encouragingly, there is still a significant drop of construction waste generation in Hong Kong.

### 3.3. The inert and non-inert dichotomy

The inert and non-inert dichotomy has greatly helped achieve this reduction. The inert and non-inert dichotomy as a philosophy implies that the inert materials, by temporarily reserved in the public filling facilities, can be used for the future purposes, e.g. land reclamation, thus theoretically their impact is negligible. Fig. 7 illustrates the construction waste disposed of at landfills and the inert materials reused or received at public filling facilities. Although the overall construction waste generated has increased during 1991 and 2005, the ratio of construction waste disposed of at landfills has been readily reduced. Table 2 and Fig. 8 illustrate in greater detail the quantities of construction waste landfilled between 2000 and 2011. Notably, there is a significant increase in quantities of construction waste in 2002. The reason is that

several reclamation projects were closed in 2002, earlier than expected. The number of reclamation sites to which inert waste could be sent has been drastically reduced. The construction waste that would originally be used in the reclamation sites had to be transferred to the landfills. This causes the increase of about 59% or 1,384,810 t over 2001–2002. This, from another perspective, also explains why the inert and non-inert dichotomy helps significantly reduced the construction waste disposal of at landfills in the period.

### 3.4. The green manager scheme, waste disposal regulations and waste disposal (designated waste disposal facility) regulation

There is a clear reduction of construction waste in 1995 (see Fig. 5). It also has a significant drop for the ratio of construction waste disposed of at landfills after 1994 (see Fig. 7). This can be explained from the significant implementation of CWM policies after 1994. In particular, the Green Manager Scheme, Waste Disposal Regulations, and Waste Disposal (Designated Waste Disposal Facility) Regulation were implemented in 1994, 1995, and 1996 respectively. The implementations of CWM policies increase awareness by the construction companies in CWM, including waste sorting and the 3R principle.

### 3.5. The construction waste disposal charging scheme (CWDSCS)

The above table and figures also record a significant drop of construction waste in 2005 from all the three landfills, a slightly increase in 2010 and a slightly drop in 2011. Hao et al. also reported that waste has been reduced by approximately 60% in landfills, by approximately 23% in public fills, and by approximately 65% in total waste between 2005 and 2006 [30]. By linking the reduction back to Table 1 and Fig. 6, it can be seen that this is a genuine reduction of overall construction waste, which is worth more in-depth interpretations. The clear drop of waste generation in 2005 is the direct benefit from the implementation of the

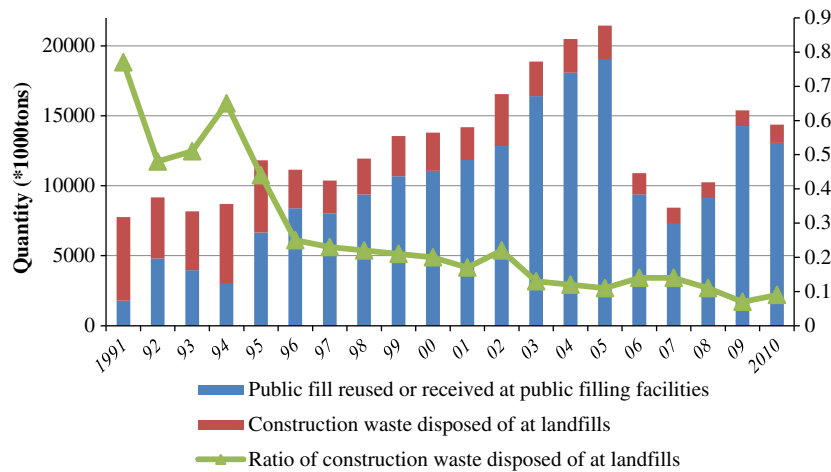


Fig. 7. Quantities of construction waste in 1991–2010 [19].

**Table 2**  
Quantities of construction waste landfilled in 2000–2011 (in tons).

	SENT	NENT	WENT	Total
2000	2,025,020	310,250	393,105	2,728,375
2001	1,727,180	306,235	305,505	2,338,920
2002	3,041,545	350,400	331,785	3,723,730
2003	1,834,855	345,290	375,575	2,455,720
2004	1,804,560	323,390	279,225	2,407,175
2005	1,806,750	310,980	275,575	2,393,305
2006	1,127,599	101,586	276,551	1,505,737
2007	864,602	110,413	177,717	1,152,732
2008	837,856	127,666	166,005	1,131,527
2009	823,198	144,524	171,292	1,139,014
2010	942,139	156,914	209,106	1,308,159
2011	848,561	167,055	200,324	1,215,940

Note: SENT is South East New territories landfill; NENT is North East New territories landfill; and WENT is West New territories landfill.

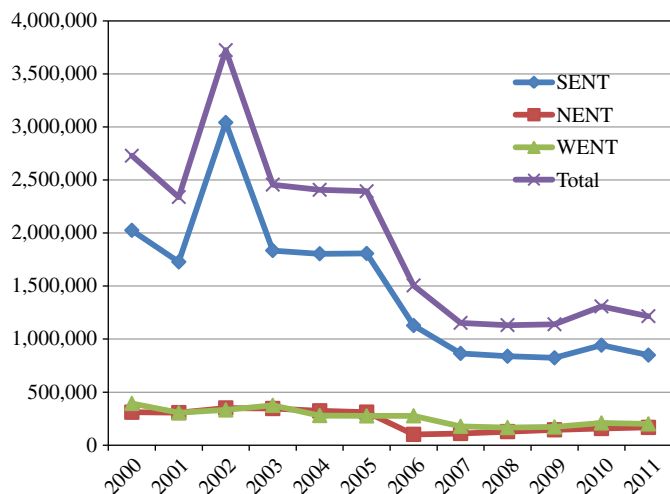


Fig. 8. Quantities of construction waste landfilled in 2000–2011 (in tons). Note: SENT is South East New Territories Landfill; NENT is North East New Territories Landfill; and WENT is West New Territories Landfill.

CWDCS at the same year. About 37.09% or 887,568 t of waste generation has been reduced in 2006 compared to that in 2005, with a further reduction of about 23.44% or 353,005 t of waste generation in 2007 compared to that in 2006.

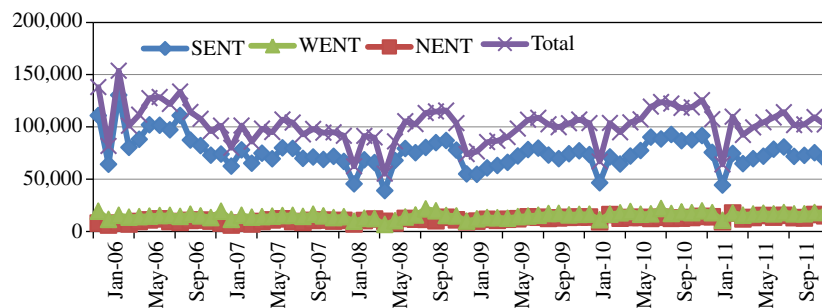
With the detail analysis by months of the waste generation to landfills (see Fig. 9), it always has a significant drop in February but return back in March every year. This is believed due to February being normally the month of Chinese Spring Festival, which is considered as a very important festival for Chinese in Hong Kong. Most of the projects will try to finish before February or start in March.

In addition to the waste sent to landfills, waste segregated in the construction off-site waste sorting facilities should also be considered. The CWDCS and the implementation of construction waste off-site sorting facilities were both implemented in 2005. Construction waste has been found significantly reduced after the implementation of the CWDCS, as some of the construction waste can be diverted to the facilities.

Table 3 and Fig. 10 show the summary of intake and disposal quantities of construction waste sorting facilities. It is clear that total waste collected to the construction waste sorting facilities was significantly reduced of about 33.76% or 468,765 t in 2007 compared to that in 2006 and further reduced of about 48.06% or 379,373 t in 2011 compared to that in 2010.

### 3.6. Lessons learnt

Generally speaking, the policies of CWM in Hong Kong can be categorized into statutory and non-statutory requirements [31]. Statutory requirements for CWM such as Waste Disposal (Amendment) Ordinance (1997) are compulsory whereby failing to comply means commitment of an offense that is punishable while non-statutory CWM requirement such as the joint practice notes are not part of the legislations or regulations but can improve and promote environment-friendly awareness to the industry. It can be seen from Fig. 1 that the years from 1994 to 2005 witness the most intensive promulgation of public policies in responding to the increasing concerns on construction waste in the territory. Amongst the policies such as the Green Manager Scheme, Waste Disposal Regulations, the CWDCS seems having the largest magnitude in terms of reducing construction waste disposal of at landfills. This strengthens the belief that “attempts to significantly reduce waste generation would not be possible in the absence of major economic incentives to drive the requisite behavioral change” [19]. Studies conducted by Yuan et al. and Yu et al. have also reported that the effects of the construction waste disposal charging scheme have been channeled back to construction sites to urge contractors in conducting better waste management such as on-site sorting [11,32].

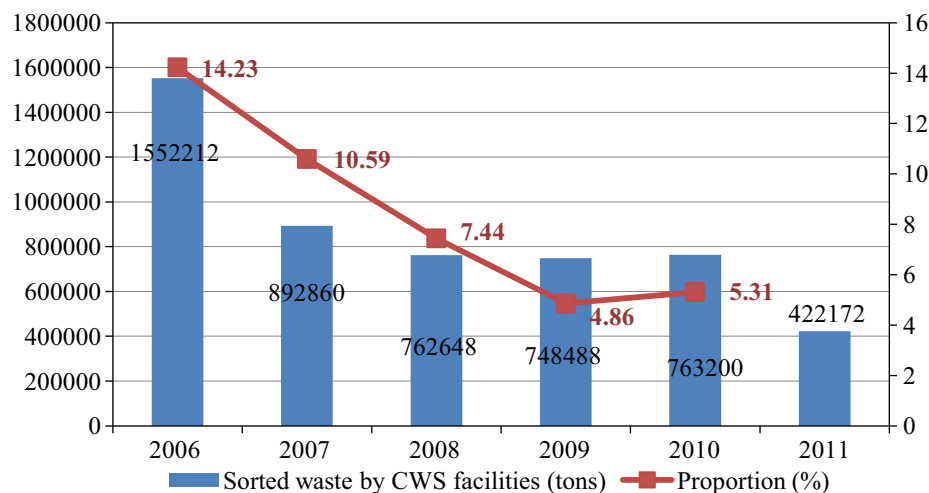


**Fig. 9.** Quantities of construction waste landfilled in 2006–2011 (by month). Note: SENT is South East New Territories Landfill; NENT is North East New Territories Landfill; and WENT is West New Territories Landfill.

**Table 3**

Quantities of intake and disposal summary of construction waste off-site sorting facilities (in tons) [34].

	Tuen Mun sorting plant			Tseung Kwan O sorting plant			Total		
	Accepted	To landfill	To fill bank	Accepted	To landfill	To fill bank	Accepted	To landfill (%)	To fill bank (%)
2006	399,451	199,084	189,630	1,062,213	760,820	239,055	1,461,664	69.13	30.87
2007	176,504	101,951	73,859	716,358	575,091	168,923	892,862	73.61	26.39
2008	152,605	92,242	62,892	610,039	508,052	98,238	762,644	78.84	21.16
2009	176,440	90,218	82,717	572,043	519,193	69,240	748,483	80.04	19.96
2010	155,012	107,205	56,776	608,184	573,185	52,242	763,196	86.19	13.81
2011	138,256	78,215	59,178	283,914	159,569	113,073	422,170	57.99	42.01
2012 (part)	17,742	11,395	9,254	40,499	22,315	21,163	58,241	52.57	47.43



**Fig. 10.** Construction waste sorted by the off-site construction waste sorting facilities [34].

Nevertheless, the CWDCS was not introduced overnight without confrontations. Rather, it has gone through a relatively long period before these regulations are accepted by stakeholders such as clients, contractors, and transporters [33]. One can see from Fig. 1 that a landfill charging scheme was proposed to be adopted as early as 1999 based on two principles, namely Polluter Pays Principle and User Pays Principle. But the 1999 version was not successful. One of the interviewees reflected that the lorry drivers struck and almost blocked the traffic system in Hong Kong at that time to protest against the Scheme. It was not until 2006 that the CWDCS has been finally implemented. Two lessons can be learnt from Hong Kong's experience in implementing CWM policies: (a) to form an interlocking policy system; and (b) to launch education and campaign of sustainable development in the society.

Hong Kong has developed a delicately interlocking policy system for CWM. For example, in parallel with the CWDCS in 2006, two off-site construction waste sorting facilities were set up

in view that some contractors may not have enough space to conduct on site waste sorting. Policies such as the Country Parks and Special Areas Regulation (Cap. 208 A), the Public Cleansing and Prevention of Nuisance Regulation (Cap. 132BK), and the Dumping at Sea Ordinance (Cap. 466) are in place to prevent that construction waste is illegally dumped in undesignated places. Particularly, a Trip Ticket System (TTS) was introduced in 1999 and enhanced in 2004 to prevent illegal dumping, which is envisaged to increase after the enactment of the construction waste disposal charging scheme, although Yu et al. reported that it is difficult to obtain concrete proof of illegal dumping [32]. More about the TTS can be seen in Lu and Yuan [33]. Another example is the JPN 1&2 issued to incentivize the adoption of green features in buildings through GFA exemption. Using prefabrication to reduce construction waste was one of the green features. But in a sense this has been abused so that the JPNs were updated in 2011 to cap the overall GFA exemption. Governments should be allowed enough time to perfect the policy system.



Another lesson learnt is to promote the value of sustainable development (SD) to foster an atmosphere that is conducive to CWM policy implementation. To improve some of the practices and allow more consultations undoubtedly contributed to the successful implementation of the CWDCS in 2006, but a changing socio-economic background toward embracing SD as an underlying contributory factor should not be neglected. During the period, EPD and other government departments have launched various campaigns and schemes to promote SD. As a result, philosophies such as 3 R principals, polluters pay principal, and extended producers responsibility principle, have been increasingly accepted by the society. Under such atmosphere, the resistance to CWM policies can be neutralized. This echoes with our interviews, which reflected that in recent years vocational training has been given to construction workers to educate good practices including on-site waste management.

### 3.7. Challenges ahead

Nevertheless, looking specifically into the period from 2006–2012 after the CWDCS was successfully implemented, CWM maintains a stably low but gloomy situation in Hong Kong. As can be seen from Table 1 and Fig. 5, the construction sector, contributing only around 3% of Hong Kong's GDP, however, sends 11 to 15 million ton of waste to the landfills per annum, taking around 25% of the overall municipal solid waste disposal of at landfills. Yuan et al. [11] reported that the CWDCS has been channeled back to construction sites to conduct more effective waste management while Yu et al. [32] further reported that no much CWM behavior changes have been observed with subcontractors' and in some trades. Although it has a significant improvement of CWM in Hong Kong after the implementation of CWDCS in 2005, this seems to be a pause of the implementation of CWM policies since that. It is necessary to consider other CWM policies that can further reduce the waste generation. Whether it is possible to further reduce the negative impacts of construction waste either through policy methods or low waste technologies, or taking the view from our interviewees that a certain level of waste generation is unavoidable, is a question challenging the policy-makers, practitioners, and researchers in Hong Kong.

## 4. Conclusions

Construction waste apportions a major part of the total municipal solid waste in contributing to the environment degradation in most cities including Hong Kong. Various policies for managing construction waste have been implemented by the Hong Kong government and her executive arms over the past decades. A longitudinal review reveals that Hong Kong is actively trying new construction waste management (CWM) policies based on latest waste management philosophies available (e.g. 3R principle, and polluter pays principle). The policies have formed an interlocking, and relatively effective policy framework for regulating CWM in Hong Kong. Amongst them, the inert and non-inert dichotomy as a CWM philosophy has helped divert the inert construction materials to public filling facilities, and thus significantly relieved the pressure on valuable landfills for accepting non-inert waste. The Construction Waste Disposal Charging Scheme (CWDCS) and its associated measures (e.g. the construction waste off-site sorting facilities) implemented in 2006 have the largest magnitude in terms of genuinely reducing construction waste both onsite and disposal of at landfills. Solid waste generated from producing every million dollars' construction work has been significantly reduced to 20 t in recent years from 40–70 t during the first half of the last decade. However, CWM remains a

gloomy situation in Hong Kong after the CWDCS was successfully implemented in 2006. Contributing only around 3% to the GDP, the construction sector however disposes of 25% of the overall municipal solid waste at landfills in Hong Kong. To further reduce the negative impacts of construction waste is a challenge ahead facing the policy-makers, practitioners, researchers, and the like.

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